

**BOARD OF TRUSTEES**  
**Compliance and Audit Committee**

**8:00 A.M.**

**Thursday, February 10, 2022**

**– NOTICE –**

**TO: HARRIS COUNTY RESIDENTS AND ALL OTHER INTERESTED PERSONS**

**DATE: FEBRUARY 2, 2022**

Notice is hereby given that the Board of Trustees Compliance and Audit Committee of the Harris Health System (Harris County Hospital District d/b/a Harris Health System) will convene at 8:00 a.m. on Thursday, February 10, 2022. This meeting may be viewed online:

<http://harrishealthtx.swagit.com/live>.

*Note: Some Board Members may participate by videoconference.*

**The Board of Trustees Compliance and Audit Committee will consider the following matters:**

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

**AGENDA**

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|---|------------------------------|
| <b>I. Call to Order and Record of Attendance</b>  | <b>Dr. Andrea Caracostis</b> |
| <b>II. Approval of the Minutes of Previous Meeting</b><br>Compliance and Audit Committee Meeting – October 14, 2021   | <b>Dr. Andrea Caracostis</b> |
| <b>III. Compliance and Audit Committee Deliverable for Calendar Year 2022</b><br>– <i>Ms.Carolynn Jones</i>   |                              |
| <b>IV. Presentation of Harris Health System Independent Financial Auditor’s Pre-audit Communication for the Fiscal Year Ended February 28, 2022 – Mr. Chris Clark, BKD</b>                      |                              |
| <b>V. Presentation of Harris Health System Internal Audit Annual Status Update</b><br>– <i>Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director</i> |                              |
| <b>VI. Consideration of Approval of the Internal Audit Charter – Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director</b>                           |                              |

**VII. Executive Session****Dr. Andrea Caracostis**

- A.** Report by the Senior Vice President, Chief Cyber & Information Security Officer, Regarding Harris Health System’s Cybersecurity Year End Review, Pursuant to Tex. Gov’t Code §418.183, Tex. Gov’t Code §551.089, and Tex. Health & Safety Code §161.032, and Possible Action Upon Return to Open Session – ***Mr. Jeffrey Vinson***
- B.** Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Compliance with Medicare, Medicaid, HIPAA, Other Federal and State Healthcare Program Requirements, and an Update on the Status of Fraud and Abuse Investigations, Pursuant to Tex. Health & Safety Code Ann. §161.032, and Possible Action Regarding This Matter Upon Return to Open Session – ***Ms.Carolynn Jones***
- C.** Discussion Regarding Internal Audit Plan, Pursuant to Tex. Health & Safety Code Ann. §161.032, and Possible Action Upon Return to Open Session – ***Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director***
- D.** Discussion Regarding Harris County Internal Audit Report on Harris Health System Patient Safety Incident Review and Audit, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §160.007, and Tex. Occ. Code Ann. §151.002, and Tex. Gov’t Code Ann. §551.071 – ***Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director***
- E.** Discussion Regarding Harris County Internal Audit Report on Harris Health System Cash Management Review and Audit, Pursuant to Tex. Health & Safety Code Ann. §161.032 – ***Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director***
- F.** Discussion Regarding Harris County Auditor’s Report on Harris Health System Outstanding Management Action Plans, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §160.007, and Tex. Occ. Code Ann. §151.002 – ***Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director***
- G.** Discussion Regarding Harris County Auditor’s Status Update on Harris Health’s Implementation of Recommendations from Alvarez and Marsal’s Gap Assessment, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Gov’t Code Ann. §551.071, Tex. Occ. Code Ann. §160.007, and Tex. Occ. Code Ann. §151.002 – ***Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director***

**VIII. Reconvene****Dr. Andrea Caracostis****IX. Adjournment****Dr. Andrea Caracostis**

**BOARD OF TRUSTEES**  
**Budget and Finance Committee**

9:30 A.M.

Thursday, February 10, 2022

– NOTICE –

TO: HARRIS COUNTY RESIDENTS AND ALL OTHER INTERESTED PERSONS

DATE: FEBRUARY 2, 2022

Notice is hereby given that the Board of Trustees Budget and Finance Committee of the Harris Health System (Harris County Hospital District d/b/a Harris Health System) will convene at 9:30 a.m. on Thursday, February 10, 2022. The meeting may be viewed online:

<http://harrishealthtx.swagit.com/live>.

*Note: Some Board Members may participate by videoconference.*

The Board of Trustees Budget and Finance Committee will consider the following matters:

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

**AGENDA**

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|--|--------------------------|
| <b>I. Call to Order and Record of Attendance</b>   | <b>Dr. Arthur Bracey</b> |
| <b>II. Approval of the Minutes of Previous Meeting</b><br>Budget and Finance Committee Meeting – November 11, 2021   | <b>Dr. Arthur Bracey</b> |
| <b>III. Board Education</b>  | <b>Dr. Arthur Bracey</b> |
| <b>A. Presentation of Medicaid and Medicare Supplemental Programs</b><br>– <b>Ms. Victoria Nikitin</b>   |                          |
| <b>IV. Building and Properties</b>   | <b>Dr. Arthur Bracey</b> |
| <b>A. Presentation of Harris Health System Capital Program Overview</b><br>– <b>Mr. David Attard</b>   |                          |
| <b>V. Financial Matters</b>  | <b>Dr. Arthur Bracey</b> |
| <b>A. Consideration of Approval to Appoint Mr. Mark Smith, Human Resources, as a Committee Member to the Harris County Hospital District 401K and 457(b) Administrative Committee and the Pension and Disability Administrative Committee, Effective as of February 1, 2022</b> – <b>Mr. Michael Norby</b> |                          |

- B.** Consideration of Acceptance of the Harris Health System Third Quarter Fiscal 2022 Investment Report – ***Ms. Victoria Nikitin***
- C.** Consideration of Acceptance of the Harris Health System Fourth Quarter Calendar Year 2021 Pension Plan Report – ***Ms. Victoria Nikitin***
- D.** Consideration of Acceptance of the Harris Health System November 2021 Quarterly Financial Report Subject to Audit – ***Ms. Victoria Nikitin***

**VI. Executive Session**

**Dr. Arthur Bracey**

- A.** Consultation with Attorney Regarding Board Approval Process for Naming Opportunities, Pursuant to Tex. Gov't Code Ann. §551.071 – ***Ms. Sara Thomas***

**VII. Reconvene**

**Dr. Arthur Bracey**

**VIII. Adjournment**

**Dr. Arthur Bracey**